

2-11-04

A circular black and white stamp. The text "OIP" is at the top, "JCA2" is at the top right, "FEB 10 2004" is in the center, and "PATENT &amp; TRADEMARK OFFICE" is at the bottom.

### The application of

Serial No. 10/646,484

Filed: August 22, 2003

) Art Unit  
) 3749

Examiner: Not yet known

Customer No.: 022913

I hereby certify that following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date of deposit: February / 0 , 2004.

- Supplemental Information Disclosure Statement
- Form PTO-1449 Listing (2) References
- Transmittal Letter (2 copies)
- Postcard

Respectfully submitted,

*Paul M. H.*

**John M. Guynn**  
**Attorney for Applicant(s)**  
**Registration No. 36,153**  
**Customer No. 022913**

JMG:mla

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Peter M. Allred and Neil T. Jessop

Serial No.

10/646,484

Filed:

August 22, 2003

For:

COMPOSITIONS AND DEVICES HAVING A TRAY-  
LIKE CONFIGURATION FOR DELIVERING AN  
ORAL MEDICAMENT AND METHODS OF  
MANUFACTURING SUCH COMPOSITIONS  
AND DEVICES

Examiner:

Not yet known

TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is a Supplemental  
Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§  
1.97 and 1.98:

X Form PTO-1449 list of two (2) references submitted for consideration.

- \_\_\_ Legible copies of the listed references or their relevant portions.
- \_\_\_ All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Supplemental Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- \_\_\_ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- \_\_\_ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- \_\_\_ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.
- \_\_\_ Legible Copies of previously filed Information Disclosure Statement for application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- \_\_\_ Promptness Certification.
- \_\_\_ Check No. \_\_\_\_\_ in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);
- \_\_\_ Petition for Consideration and Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_.
- X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 10<sup>th</sup> day of February, 2004.

Respectfully submitted,



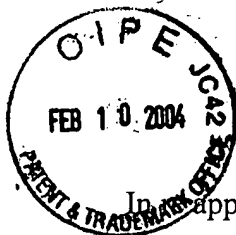
John M. Guynn  
Attorney for Applicant  
Registration No. 36,153  
Customer No. 022913

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PATENT APPLICATION

Docket No. 7678.791



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of

Peter M. Allred and Neil T. Jessop

Serial No. 10/646,484

Filed: August 22, 2003

For: COMPOSITIONS AND DEVICES HAVING A TRAY-  
LIKE CONFIGURATION FOR DELIVERING AN  
ORAL MEDICAMENT AND METHODS OF  
MANUFACTURING SUCH COMPOSITIONS  
AND DEVICES

Examiner: Not yet known

Customer No.: 022913

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) Art Unit  
) 3749

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Dated this 10<sup>th</sup> day of February, 2004.

Respectfully submitted,



John M. Guynn  
Attorney for Applicant  
Registration No. 36,153  
Customer No. 022913

JMG:mla  
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Applicant: Peter M. Allred, et al.

Serial No.: 10/646,484

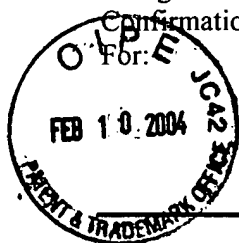
Att'y Docket No.: 7678.791

Filing Date: August 22, 2003

Group: 3749

Confirmation No.: 9447

For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE  
CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND  
METHODS OF MANUFACTURING SUCH COMPOSITIONS AND  
DEVICES

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents**BEST AVAILABLE COPY**

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
____ 1	6,682,721	01-27-04	Kim et al.
____ 2	6,649,147	11-18-03	Ye et al.

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.